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An existence result for a new class of impulsive functional differential equations with delay

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A joint work with V. Colao and H.K. Xu



Evolution Equations with non-instantaneous impulses

E. Hernandez and D. O'Regan (*Proc. AMS*, 2013) study the following system:

$$\begin{cases} x'(t) - Ax(t) = f(t, x(t)), & \text{a.e. } t \in \bigcup_{i=0}^N (s_i, t_{i+1}] \\ x(t) = g_i(t, x(t)), & t \in (t_i, s_i], i = 1, \dots, N \\ x(0) = x_0. \end{cases}$$

since it finds its motivation in models of real phenomena in which an impulsive action starts abruptly and stays active on a finite time interval.

Retarded Functional Differential Equation with non-istantaneous impulses.

$$(RFDE) \left\{ \begin{array}{l} x'(t) - A(t)x(t) = f(t, x(t), x(\sigma(t))), \quad a.e. \, t \in \bigcup_{i=0}^{\infty} (s_i, t_{i+1}] \\ x(t) = (Kx)(t), \quad t \in [-r, 0] \cup \bigcup_{i=1}^{\infty} (t_i, s_i], \end{array} \right.$$

where:

- $A : [0, +\infty) \rightarrow \mathcal{L}(X, X)$.
- $\sigma : [-r, +\infty) \rightarrow [0, +\infty)$ with $\sigma(t) \leq t$ (delay).
- $K : BC \left(\bigcup_{i=1}^{\infty} [t_i, s_i] \right) \rightarrow BC \left(\bigcup_{i=1}^{\infty} [t_i, s_i] \right)$ (non-istantaneous impulse).

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Novelty elements with respect to the literature on the topic

- Time dependence of the operator $A(\cdot)$.
- Unboundedness of the time interval.
- Presence of an infinite number of *non-istantaneous* impulses.
- Delay.
- We will mainly rely on topological conditions.

Our aim..

is to prove the existence of *mild* and *strong* solutions for our system by using

- Fixed Point Theory - Evolution Operator Theory
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Setting of our (RFDE)

- Let $(X, \|\cdot\|_X)$ be a Banach space and let $\Theta := \{t_0 < \dots < t_i < \dots\} \subset \mathbb{R}$ be a sequence such that $t_i \rightarrow +\infty$.
- $PC_\Theta([-r, +\infty), X)$ will denote the space of functions $x : [-r, +\infty) \rightarrow X$:
 - (i) continuous on $[0, +\infty) \setminus \Theta$
 - (ii) there exist $\lim_{t \rightarrow t_i^-} x(t) = x(t_i)$ and $\lim_{t \rightarrow t_i^+} x(t)$ for any $i \in \mathbb{N}$.
- $BPC_\Theta([-r, +\infty), X)$ will denote the subspace of bounded functions in $PC_\Theta([-r, +\infty), X)$ that is a Banach space endowed with the “sup” norm $\|\cdot\|_\infty$.

A *mild solution* to (RFDE) is defined as follows.

Definition

A function $u \in BPC_{\Theta}([-r, +\infty), X)$ is called a *mild solution* if $u(t) = Ku(t)$ on $\cup_{i=1}^{\infty}(t_i, s_i]$, and

$$u(t) = E(t, s_i)(Ku)(s_i) + \int_{s_i}^t E(t, s)f(s, u(s), u(\sigma(s)))ds$$

for any $\cup_{i=1}^{\infty}(s_i, t_i]$.

Our approach

Our approach belongs to the fixed point theory and consists in translating (RFDE) into a fixed point problem, which is to find $x \in BPC_{\Theta}([-r, +\infty), X)$ with the property that $x(\cdot) = Tx(\cdot)$ where

$$Tx(t) := \begin{cases} E(t, s_i)(Kx)(s_i) + \int_{s_i}^t E(t, s)f(s, x(s), x(\sigma(s)))ds, & t \in \bigcup_{i=0}^{\infty} (s_i, t_{i+1}] \\ (Kx)(t), & \text{otherwise.} \end{cases}$$

where $E(t, s) \in \mathcal{L}(X, X)$ is an element of an evolution system generated by $A(\cdot)$.

We will prove a compactness criterion for $BPC_{\Theta}([-r, +\infty), X)$ in order to apply the following well-known fixed point theorem:

Theorem (Schaefer's Theorem)

Let C be a convex subset of a Banach space E and $0 \in C$. Let $F : C \rightarrow C$ be a completely continuous operator, and let

$$\zeta(F) := \{x \in E : x = \lambda Fx, 0 < \lambda < 1\}.$$

Then either $\zeta(F)$ is unbounded or F has a fixed point.

A compactness criteria in $BPC_{\Theta}([-r, +\infty), X)$

Lemma

Let $\Omega \subset BPC_{\Theta}([-r, +\infty), X)$ be bounded. Then Ω is relatively compact if and only if

- (C1) for all $t \in [-r, +\infty) \setminus \Theta$, $\Omega(t)$ is compact in X . Moreover, $\Omega(t_i^+)$ is compact in X for any $i \in \mathbb{N}$,
- (C2) Ω is quasi-equicontinuous, i.e. for every $u \in \Omega$ and $\varepsilon > 0$, there exists $\delta > 0$ such that $|u(\tau_1) - u(\tau_2)| < \varepsilon$ whenever $|\tau_1 - \tau_2| < \delta$ for $\tau_1, \tau_2 \in (t_k, t_{k+1}]$ for some $k \in \mathbb{N}$ or $\tau_1, \tau_2 \in [-r, 0)$.
- (C3) $\forall \varepsilon > 0$ there exists $N = N(\varepsilon) > 0$ such that $\chi(\Omega|_{[N, +\infty)}) < \varepsilon$.

Our Hypotheses

Our assumption will be the following:

- (H_1) $A : [0, +\infty) \rightarrow \mathcal{L}(X, X)$ is the generator of a compact and uniformly bounded evolution system $\{E(t, s)\}_{0 \leq s \leq t}$,
i.e. for any $0 \leq s \leq t < \infty$, $E(t, s) \in \mathcal{L}(X, X)$ is compact and there exists M_E (not depending on t and s) such that $\|E(t, s)\|_{\mathcal{L}(X, X)} \leq M_E$.

Evolution Systems

Recall that for first order differential system

$$x'(t) - Ax(t) = 0, \quad x(\theta) = x_0. \quad (1)$$

where $A := (a_{i,j})$ be a real $n \times n$ -matrix, a solution of (1) can be written in the form $x(t) = \exp[(t - \theta)A]x_0$, where

$$\exp(sA) = \sum_{k=1}^{\infty} \frac{1}{k!} (sA)^k.$$

In the non-constant case, the natural extension of the above solution, indicated by $x(t) = \exp \left[\int_{\theta}^t A(s) ds \right] x_0$, does not work, in general, since the equality

$$\frac{d}{dt} \exp \left[\int_{\theta}^t A(s) ds \right] = A(t) \exp \left[\int_{\theta}^t A(s) ds \right]$$

A representation of the solution of (1) in terms of $A(t)$ is given by

$$x(t) = E(t, \theta) x_0 \quad (2)$$

where

$$E(t, \theta) = \lim_{k \rightarrow \infty} \left(I + \int_{\theta}^t A(t_1) dt_1 + \cdots + \int_{\theta}^t \cdots \int_{\theta}^{t_{k-1}} A(t_1) A(t_2) \cdots A(t_k) dt_k \cdots \right)$$

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This family $\{E(t, \theta)\}_{0 \leq \theta \leq t}$ is known as *evolution system* generated by $A(t)$.

Some relevant properties of $E(t, \theta)$ immediately follow:

- (i) $E(t, t) = I$;
- (ii) $E(t, s)E(s, \theta) = E(t, \theta)$ by the uniqueness of the solution of (1);
- (iii) the mapping $(t, \theta) \rightarrow E(t, \theta)$ is continuous in the uniform norm topology;
- (iv) $\frac{\partial E(t, \theta)}{\partial t} = A(t)E(t, \theta)$ (by deriving formula (2));
- (v) $\frac{\partial E(t, \theta)}{\partial \theta} = -E(t, \theta)A(\theta)$ (by deriving formula (2)).

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Note that if $A(t) = A$, then $E(t, \theta) = \exp[(t - \theta)A]$ and therefore properties (i) to (iii) become the well-known properties which characterize the *uniformly continuous semigroup* generated by A (see Pazy 2012). Moreover, by integrating (iv), we find that

$$E(t, \theta) = I + \int_{\theta}^t A(\tau)E(\tau, \theta)d\tau. \quad (3)$$

If $\tau_1 \leq \tau_2$ then (3) implies

$$\|E(\tau_2, \theta) - E(\tau_1, \theta)\| \leq \int_{\tau_1}^{\tau_2} \|A(\tau)\| \|E(\tau, \theta)\| d\tau$$

and, if the operators are *uniformly bounded*, i.e. if there exist two positive numbers M_A , M_E such that $\|A(t)\| \leq M_A$ and $\|E(t, \theta)\| \leq M_E$, for all $0 \leq \theta < t < +\infty$, then the evolution operator $E(t, \theta)$ is equicontinuous.

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In the setting of an infinite-dimensional Banach space X , in order to represent a solution of (1) by $x(t) = E(t, \theta)x_0$, the boundedness of the linear operator $A(t)$ (for fixed t) plays a relevant role; even in the autonomous case $A(t) = A$, the boundedness of the operator is a necessary and sufficient condition in order to obtain continuity of the generated semigroup in the uniform norm topology, see Theorem 1.2-Pazy 2012.

In a similar way, if $A(\cdot)$ is a linear and bounded operator on its domain $D(A(\cdot)) \subset X$ and the mapping $t \rightarrow A(t)$ is continuous in the uniform operator topology, then the evolution operator $E(t, \theta)$ satisfies (i)-(iv) and (3) (see Theorem 5.2-Pazy 2012).

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Nevertheless, in the setting of infinite-dimensional Banach spaces, unbounded operators $A(t)$ have been widely applied to model several problems related to partial differential equations.

Since by dropping the boundedness, we lose property (iii), we will work with a well-known weaker form of continuity, namely *strong continuity*, i.e. by assuming that the mapping $(t, \theta) \rightarrow E(t, \theta)x$ is continuous for all $x \in X$.

We stress that, whenever $A(t)$ is unbounded for some $t \in [0, +\infty)$, a set of sufficient conditions for which $A(\cdot)$ generates a uniformly bounded evolution system satisfying (i), (ii), (iv) and (v), is given by Theorem 4.8-Pazy 2012 and Theorem 6.1-Pazy 2012 for parabolic and hyperbolic equations, respectively.

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In the above cited results, a common hypothesis is that $D(A(\cdot)) = D$, i.e. the domain does not depend on t , and that D is dense in X . This assumption is widely used in many papers in the literature (see e.g. Aizicovici-Staicu NoDea 2007, Benedetti-Rubbioni TMNA 2008, Cardinali-Rubbioni NA 2012, Li et.al. MCM 2009, Xiao et. al. NA 2005).

We will also assume the compactness of the family $\{E(t, \theta)\}_{0 \leq \theta \leq t}$, which is a fair common hypothesis when working with the lack of boundedness of the operator A (see above references). Sufficient conditions to ensure the compactness of an evolution system are given for example in Kartsatos's papers Proc. AMS 1995 and Math. Ann. 1995.

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Our assumption will be the following:

(H_1) $A : [0, +\infty) \rightarrow \mathcal{L}(X, X)$ is the generator of a compact and uniformly bounded evolution system $\{E(t, s)\}_{0 \leq s \leq t}$, pause

(H_2) $f : [0, +\infty) \times X \times X \rightarrow X$ is L^1 -Caratheodory that is:

- ① $f(t, \cdot, \cdot) : X \times X \rightarrow X$ is continuous for a.e. $t \in [0, +\infty)$;
- ② for any fixed $x, y \in X$, $f(\cdot, x, y)$ is strongly measurable on $[0, +\infty)$;
- ③ for any $R > 0$ there exists $p_R \in L^1[0, +\infty)$ such that

$$|f(t, x, y)| \leq p_R(t), \quad \|x\|_X, \|y\|_X \leq R.$$

(H_3) $\sigma : [0, +\infty) \rightarrow [-r, +\infty)$ is a continuous and increasing function such that $\sigma(t) \leq t$ for any t in the domain.

(H_4) $K : BC(\cup_{i=0}^{\infty} [t_i, s_i], X) \rightarrow BC(\cup_{i=0}^{\infty} [t_i, s_i], X)$ is a completely continuous operator; moreover there exists $M_K > 0$ such that $\|K(u)\|_{\infty} \leq M_K$, for all $u \in BC(\cup_{i=0}^{\infty} [t_i, s_i], X)$.

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By using the previous Lemma, we prove the following:

Proposition

Assume that (H_1) and (H_2) hold. Then

$$Tx(t) := \begin{cases} E(t, s_i)(Kx)(s_i) + \int_{s_i}^t E(t, s)f(s, x(s), x(\sigma(s)))ds, & t \in \bigcup_{i=0}^{\infty} (s_i, t_{i+1}] \\ (Kx)(t), & \text{otherwise.} \end{cases}$$

is completely continuous.

Strong and Mild solutions.

Proposition

If the evolution system $\{E(t, s)\}_{0 \leq s \leq t}$ is differentiable (i.e. satisfies (iv) and (v) of Section 2), a point x in $BPC_{\Theta}([-r, +\infty), X)$ is a fixed point of the operator T if and only if it is a strong solution of Problem (1).

To prove our main result, we will use the following assumption

(H_2^*) f satisfies (H_2) and there exists $\Psi : [0, +\infty) \rightarrow [1, +\infty)$ is an increasing function, satisfying

$$\int_0^{+\infty} \frac{ds}{\Psi(s)} = +\infty \quad (4)$$

and

$$\|f(t, x, y)\|_X \leq \Psi(\|x\|_X + \|y\|_X)p(t),$$

for some $p \in L^1[0, +\infty)$.

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Theorem

Assume that (H_1) , (H_2^) , (H_3) and (H_4) hold. Then Problem (1) admits a mild solution in $BPC_\Theta([-r, +\infty), X)$.*

Corollary

Assume that (H_1) , (H_2^) , (H_3) and (H_4) hold. If the evolution system is differentiable, Problem (1) admits a strong solution in $BPC_\Theta([-r, +\infty), X)$.*

Remark

A close proof to that Theorem applied to the set of fixed point of the operator T , permits to obtain a uniform bound for the solutions of problem (1); in particular the solutions are uniformly bounded by

$$2(1 + M_E)M_K + \|p\|_1 \Psi(2(1 + M_E)M_K).$$

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Remark

A close proof to that Theorem applied to the set of fixed point of the operator T , permits to obtain a uniform bound for the solutions of problem (1); in particular the solutions are uniformly bounded by

$$2(1 + M_E)M_K + \|p\|_1 \Psi(2(1 + M_E)M_K).$$

Theorem

Assume that (H_1) , (H_2^) , (H_3) and (H_4) hold. Then Problem (1) admits a mild solution in $BPC_\Theta([-r, +\infty), X)$.*

Corollary

Assume that (H_1) , (H_2^) , (H_3) and (H_4) hold. If the evolution system is differentiable, Problem (1) admits a strong solution in $BPC_\Theta([-r, +\infty), X)$.*

Remark

A close proof to that Theorem applied to the set of fixed point of the operator T , permits to obtain a uniform bound for the solutions of problem (1); in particular the solutions are uniformly bounded by

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A seemingly more general result concerning the existence of not necessarily bounded solutions is obtained by assuming the following instead of (H_2) .

(H_2^{loc}) $f : [0, +\infty) \times X \times X \rightarrow X$ is such that $f(t, \cdot, \cdot) : X \times X \rightarrow X$ is continuous for a.e. $t \in [0, +\infty)$, for any fixed $x, y \in X$, $f(\cdot, x, y)$ is strongly measurable on $[0, +\infty)$ and satisfies

$$|f(t, x, y)| \leq L(\|x\|_X, \|y\|_X)p(t) \text{ for some } p \in L^1_{loc}[0, +\infty), \text{ where}$$

$$L : [0, +\infty) \times [0, +\infty) \rightarrow [0, +\infty) \text{ is increasing in each individual variable.}$$

Corollary

Suppose that (H_1) and (H_3) hold. Moreover suppose that (H_2^{loc}) holds with $L(x, y) = \Psi(\|x\| + \|y\|)$, where $\Psi : [0, +\infty) \rightarrow [1, +\infty)$ is an increasing function, satisfying (4); then Problem (1) admits a solution in $PC_{\Theta}([-r, +\infty), X)$.

Thank you for your attention.