

An infinite-dimensional version of the Poincaré – Birkhoff theorem

Alessandro Fonda

(Università degli Studi di Trieste)

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to appear in the Annali della Scuola Normale di Pisa

Jules Henri Poincaré (1854 – 1912)



SUR UN THÉORÈME DE GÉOMÉTRIE.

Par M. H. Poincaré (Paris).

Adunanza del 10 marzo 1912.

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Note: Poincaré died on July 17th, 1912

RENDICONTI
DEL
CIRCOLO MATEMATICO
DI PALERMO

DIRETTORE: G. B. GUCCIA.

TOMO XXXIII

(1° SEMESTRE 1912).

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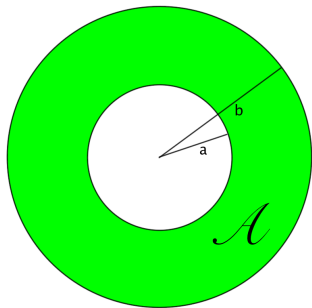
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Poincaré's

“Théorème de géométrie”

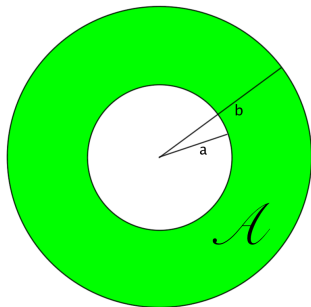
Poincaré's "Théorème de géométrie"

\mathcal{A} is a closed planar annulus



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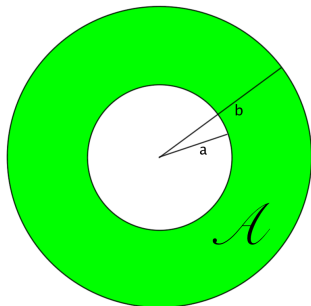
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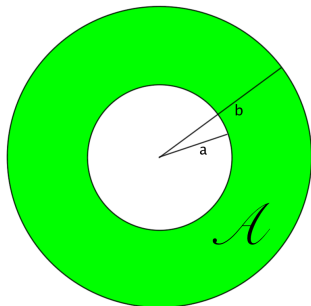


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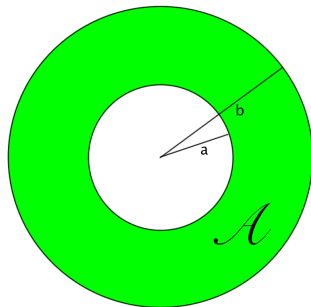
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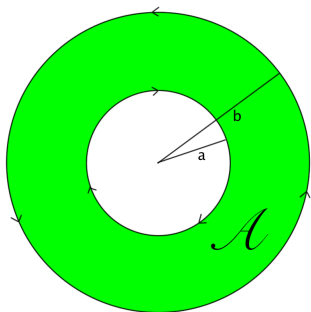
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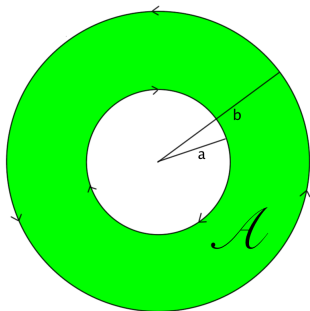
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(\star) it rotates the two boundary circles in opposite directions
(this is called the "twist condition").

Then, \mathcal{P} has at least two fixed points.

An equivalent formulation

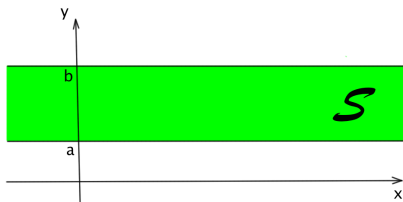
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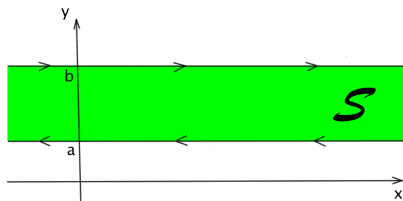
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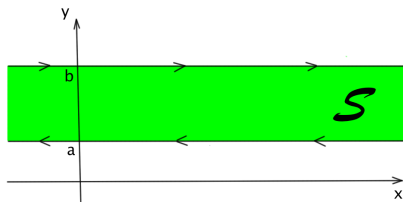
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Then, \mathcal{P} has at least two geometrically distinct fixed points.

George David Birkhoff (1884 – 1944)



The Poincaré – Birkhoff theorem

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Applications to the [existence of periodic solutions](#) were provided by:

Bartsch, Bonheure, Boscaggin, Butler, Calamai, Corsato, Dalbono, Del Pino, T. Ding, Dondè, Fabry, Feltrin, Garrione, Gidoni, Hartman, Manásevich, Margheri, Mawhin, Omari, Sabatini, Sfecci, Smets, Toader, Torres, Wang, Zanini, Zanolin, ...

Generalizing the Poincaré – Birkhoff theorem (in the framework of Hamiltonian systems)

Consider the system

$$\dot{x} = \frac{\partial H}{\partial y}(t, x, y), \quad \dot{y} = -\frac{\partial H}{\partial x}(t, x, y),$$

and assume that the Hamiltonian $H(t, x, y)$ is T -periodic in t .

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Twist condition: the solutions $(x(t), y(t))$ with starting point $(x(0), y(0))$ on $\partial\mathcal{S}$ are defined on $[0, T]$ and satisfy

$$(*) \quad x(T) - x(0) \begin{cases} < 0, & \text{if } y(0) = a, \\ > 0, & \text{if } y(0) = b. \end{cases}$$

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Attempts in some directions have been made by:

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However,

“A genuine generalization of the Poincaré – Birkhoff theorem to higher dimensions has never been given.”

[Moser and Zehnder, Notes on Dynamical Systems, 2005]

The finite-dimensional case (F. – Ureña, 2017)

Consider the system

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Then, there are **at least $N + 1$** distinct T -periodic solutions, with

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The periodicity in x_1, \dots, x_N permits to define the action functional on the product of a Hilbert space E and the N -torus \mathbb{T}^N :

$$\varphi : E \times \mathbb{T}^N \rightarrow \mathbb{R}.$$

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The result then follows from the fact that

$$\text{cat}(\mathbb{T}^N) = N + 1.$$

Some applications:

- A. Fonda and A. Sfecci,
Periodic solutions of weakly coupled superlinear systems,
Journal of Differential Equations (2016)
- A. Fonda, M. Garrione and P. Gidoni,
Periodic perturbations of Hamiltonian systems,
Advances in Nonlinear Analysis (2016)
- A. Fonda and A. Sfecci,
Multiple periodic solutions of Hamiltonian systems confined in a box,
Discrete and Continuous Dynamical Systems (2017)
- A. Fonda and P. Gidoni,
An avoiding cones condition for the Poincaré–Birkhoff theorem,
Journal of Differential Equations (2017)
- A. Fonda and R. Toader,
Subharmonic solutions of Hamiltonian systems displaying some kind of
sublinear growth, Advances in Nonlinear Analysis (2017)

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Assume that the sequences $(\tau_k)_k$ and $(b_k - a_k)_k$ belong to ℓ^2 .

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Assume $H(t, x, y)$ to be also τ_k -periodic in x_k , for each $k = 1, 2, \dots$

Let $\mathcal{S}_\infty = \ell^2 \times \prod_{k=1}^\infty [a_k, b_k]$ be an infinite-dimensional strip.

Assume that the sequences $(\tau_k)_k$ and $(b_k - a_k)_k$ belong to ℓ^2 .

Moreover, the Hamiltonian $\nabla H : \mathbb{R} \times \ell^2 \times \ell^2 \rightarrow \ell^2 \times \ell^2$ satisfies:

- at most linear growth
- Lipschitz continuity on bounded sets.

The infinite-dimensional case

Consider the system

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We define:

- the projection $P_N : \ell^2 \rightarrow \mathbb{R}^N$ as

$$P_N(\xi_1, \xi_2, \dots) = (\xi_1, \xi_2, \dots, \xi_N);$$

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- the function $H_N : \mathbb{R} \times \mathbb{R}^N \times \mathbb{R}^N \rightarrow \mathbb{R}$ as

$$H_N(t, u, v) = H(t, I_N u, I_N v).$$

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Twist condition: for every sufficiently large integer N , the solutions of

$$u' = \nabla_v H_N(t, u, v), \quad v' = -\nabla_u H_N(t, u, v),$$

with starting point $(u(0), v(0)) \in \partial S_N$ satisfy

$$(\star) \quad u_k(T) - u_k(0) \begin{cases} < 0, & \text{if } v_k(0) = a_k, \\ > 0, & \text{if } v_k(0) = b_k. \end{cases}$$

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Theorem

*In the above setting, there exists **at least one** T -periodic solution, with*

$$(x(0), y(0)) \in S_\infty.$$

An application

Consider the system

$$x_k'' + \frac{\partial \mathcal{V}}{\partial x_k}(t, x_1, \dots, x_k, \dots) = e_k(t), \quad k = 1, 2, \dots,$$

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- $\nabla_x \mathcal{V} : \mathbb{R} \times \ell^2 \rightarrow \ell^2$ is Lipschitz continuous on bounded sets;
- $e : \mathbb{R} \rightarrow \ell^2$ is T -periodic with $\int_0^T e(t) dt = 0$.

Theorem

Assume that $(\tau_k)_k$ belongs to ℓ^2 and that there exists $(M_k)_k$ in ℓ^2 such that

$$\left| \frac{\partial \mathcal{V}}{\partial x_k}(t, x) \right| \leq M_k, \quad \text{for every } (t, x) \in [0, T] \times \ell^2.$$

Then, there is **at least one** T -periodic solution.



Grazie per l'attenzione!